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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
 (Under 37 CFR 1.97(b) (2) (i) (A))

Docket No.  
 A0000367-D1-03-ESW

In Re Application Of: **Pauline Pan, et al.**

JUN 17 2004  
 PATENT & TRADEMARK OFFICE  
 U.S. DEPARTMENT OF COMMERCE

Serial No.

10/766,366

Filing Date

January 28, 2004

Examiner

Not yet assigned

Group Art Unit

1614

Title: **Oral Care Composition Comprising Troppolone Compounds and Essential Oils and Methods of Using the Same**

Address to:

**Assistant Commissioner for Patents**  
 Washington, D.C. 20231

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
  - the statement specified in 37 CFR 1.97(e);

**OR**

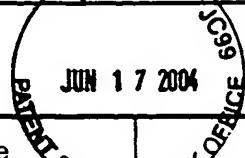
  - the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
 (Under 37 CFR 1.97(b) or 1.97(e))

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Oral Care Composition Comprising Troppolone Compounds and Essential Oils and Methods of Using

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Dated: June 15, 2004

JUN 17 2004

Form PTO-1449 (REV. 8-83) U.S. Department of Commerce Patent and Trademark Office		ASY. DOCKET NO. A0000367-D1-03-ESW	SERIAL NO. 10/766,366
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)			
APPLICANT(S) Pauline Pan et al.		FILING DATE January 28, 2004	
		GROUP 1614	

## U.S. PATENT DOCUMENTS

Examiner Initial	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,009,898	Apr. 23, 1991	Shuji Sakuma et al.			
	5,696,169	Dec. 9, 1997	Yoshiro Otsu, et al.			
	5,939,050	Aug. 17, 1999	Lokanathan M. Iyer et al.			
	6,025,312	Feb. 15, 2000	Yoshinobu Saito et al.			
	6,096,328	Aug. 1, 2000	Paul Albert Sagel et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
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	JP20000044422	15/02/2000	Japan			English Abstract	
	JP 11158052	15/06/1999	Japan			English Abstract	
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**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


\* EXAMINER: Initial if citation considered, whether or not citation is in confrmance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.